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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.usdto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/862,449

05/23/2001

Sung-Han Kim

1514.1002

CONFIRMATION NO. 8882

FORMALITIES LETTER

OC000000006329111

21171 STAAS & HALSEY LLP 700 11TH STREET, NW SUITE 500 WASHINGTON, DC 20001

Date Mailed: 07/23/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

 Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$392.
 - \$72 for 4 total claims over 20.
 - \$320 for 4 independent claims over 3.
- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1232.

A copy of this notice MUST be returned with the reply.

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Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

S&H Form: PTO/SB/17 (2/01)

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PENTA TRADE	

RESPONSE TO NOTICE TO FILE MISSING PARTS

		33,113,111
	Attorney Docket No.	1514.1002
	Application Number	09/862,449
	Filing Date	May 22, 2001
	First Named Inventor	Sung Han KIM et al.
	Group Art Unit	Unassigned

Title:

A BLUE ELECTROLUMINESCENCE COMPOUND FOR AN ORGANIC ELECTROLUMINESCENCE DEVICE AND THE ORGANIC ELECTROLUMINESCENCE DEVICE USING THE SAME

Pursuant to 37 C.F.R. § 1.53(f) and in response to the U.S. Patent and Trademark Office Notice to File Missing Parts of Nonprovisional Application mailed July 23, 2001 for the aboveidentified application, enclosed are the following:

<i>₹</i>	□ RETURN COPY of Notice to File Missing Parts of Nonprovisional	al Application	
i	Executed Combined Declaration/Power of Attorney executed by the inventor for completing the missing parts of the subject application. The undersigned registered attorney states that the subject application is the application which the inventor executed by signing the attached Declaration.		
	Formal Drawings (Sheets; Figs)		
	☐ English-language translation of application (with Translator's Sta	atement (pages))	
	☐ Verified Statement Claiming Small Entity Status		
	Enclosed is a payment of the following:		
	☐ The filing fee as set forth in 37 C.F.R. § 1.16(a)	\$ 740.00	
	∑ The additional claim(s) fee (4 claims over 20)	\$ 72.00	
	☐ The additional independent claim(s) fee (4 claims over 3)	\$ 336.00	
	☐ Multiple dependent claims	\$	
	Petition for Extension of Time (<u>one</u> -month)	\$110.00	
	☐ English language translation fee	\$	
	⊠ Surcharge as set forth in 37 C.F.R. § 1.16(e)	\$130.00	
	SUBTOTAL FEES:	\$1388.00	
	☐ Reduction by 50% for filing by small entity (37 CFR 1.27)	.00.	
10/18/2001 WAI	RHAM1 00000068 09862449 SUBTOTAL FEES:	\$1388.00	
05 FC:115	110.00 OP TOTAL FEES DUE:	\$1388.00	

Since the Notice to File Missing Parts of Nonprovisional Application set an <u>original</u> due date of <u>September 23, 2001</u> , petition is hereby made for an extension to cover the date this reply is filed for which the requisite fee is enclosed (1 month (\$110)); (2 months (\$400)); (3 months (\$920)); (4 months (\$1,440)); (5 months (\$1,960)): \$110.00							
METHOD OF PAYMENT							
\boxtimes	Check enclosed as payment.						
	Charge "TOTAL FEES DUE" to the Deposit Account No. 19-3935, below.						
AUTHORIZATION							
	If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees under 37 CFR 1.16 or 1.17 necessary to maintain pendency of the present application to:						
Deposit Account No.: 19-3935							
Deposit Account Name: STAAS & HALSEY LLP							
SUBMITTED BY: STAAS & HALSEY LLP							
Typed Name		Michael D. Stein	Reg. No.	37,240			
Signature		Muka DH	Date	10/17/01			